



UMO 1528.1 (98UMR016) PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Michael R. Van de Mark et al. Serial No. 10/643,612 Filed August 19, 2003 For WATER BORNE FILM-FORMING COMPOSITIONS

November 19, 2003

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VIRGINIA 22313-1450

SIR:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicant submits the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein. Copies of Reference Nos. 1 and 16 are submitted herewith. Copies of Reference Nos. 2-15 and 17-21 were previously submitted in parent application Serial No. 09/532,839 and, therefore, in accordance with MPEP 609, are not being provided at this time.

Respectfully submitted,

Patricia K. Fitzsimmons, Reg. No. 52,894

SENNIGER, POWERS, LEAVITT & ROEDEL

Patrial Sprimum

One Metropolitan Square, 16th Floor

St. Louis, Missouri 63102

(314) 231-5400

PKF/cms

Express Mail Label No. EV 324379039 US

PTO/SB/08A				Complete if Known		
I	FORMATION	DISC	LOSURE	Application Number	10/643,612	
STATEMENT BY APPLICANT				Filing Date	August 19, 2003	
(Li se	as many shee	ets as	necessary)	Confirmation Number	to be assigned	
/ c'	417			First Named Inventor	Van de Mark	
NOV 1.	9 2003			Group Art Unit	to be assigned	
Charles MAD	W SKE			Examiner Name	to be assigned	
Sheet	1	of	2	Attorney Docket No.	UMO 1528.1 (98UMR016)	

		U	.S. PATEN	T DOCUMENTS		
	Cite No.1	U.S. Patent Doc	ument		Date of Publication of Cited Document MM-DD-YYYY	
Examiner Initials*		Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document		
	1	2,795,564		Conn et al.	06/11/1957	
	2	3,884,856		Motier et al.	05/20/1975	
	3	4,090,886		Turner	05/23/1978	
	4	4,131,580		Emmons et al.	12/26/1978	
	5	4,141,868		Emmons et al.	02/29/1979	
	6	4,147,674		Vasta	04/03/1979	
	7	4,206,099		Bentley et al.	06/03/1980	
	8	4,233,362		Novak et al.	11/11/1980	
	9	4,303,581		Levine et al.	12/01/1981	
	10	4,489,188		Jones et al.	12/18/1984	
	11	4,966,939		Craig	10/30/1990	
	12	5,206,077		Cowley et al.	04/27/1993	
	13	5,236,987		Arendt	08/17/1993	
	14	5,286,554		Cowley et al.	02/15/1984	
	15	5,349,026		Emmons et al.	09/20/1994	

Examiner	Date
Signature	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

PTO/SB/08A						Complete if Known			
INFORMATION DISCLOSURE						Application Number 10		0/643,612	
				PLICANT		Filing Date Au		ugust 19, 2003 be assigned	
JUSE	as man	v sheet	ts as	necessary)					
NOV 1 9 2003						First Named Inventor Va		an de Mark be assigned	
& WADEMARY		I	_			Examiner Name		to be assigned	
Sheet	2		of	2		Attorney Docket No.	ttorney Docket No. UN		
									_
	16	5,484,8	349			Bors et al.		01/16/1996	
	17	5,753,7	' 42			Bumanlag		05/19/1998	
	16 5,869,590			Clark et al.		02/09/1999			
	19	6,156,8	333			Rauls		12/05/2000	
	20	6,177,5	510			Saam	=	01/23/2001	
				FOREIG	N PA	TENT DOCUMENTS			
		Foreign Patent Document			ent				of T ⁶
Examiner Initials*	Cite No.¹ Office Number⁴		nber⁴	Kind Code ² (if know	Cited Document	Name of Patentee or Applicant of Cited Document			
	21	EPO	0 02	26 982		Rohm & Haas		04/15/1981	
	22								
		OTH	IER	ART - NON	PATE	NT LITERATURE DOC	UMEN	TS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.							Т
	23								
	24								Γ
	25			1100					Τ

Examiner	Date	
Signature	Considered	
Signature	Considered	in the same of the

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..